

# **EXHIBIT A**

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

IN RE: UBER TECHNOLOGIES, INC.,  
PASSENGER SEXUAL ASSAULT  
LITIGATION

Case No. 3:23-md-03084-CRB

**[PROPOSED] PRETRIAL ORDER NO. \_\_:  
RULE 502(D) AND PRIVILEGED  
MATERIALS**

This Document Relates to:

ALL CASES

**A. Applicability.**

1. This Order shall be applicable to any privileged or otherwise protected or exempted information contained in deposition transcripts and/or videotapes, and documents produced in response to requests for production of documents, answers to interrogatories, responses to requests for admissions, affidavits, declarations, and all other information or material produced, made available for inspection, or otherwise submitted by any of the parties in this litigation pursuant to the Federal Rules of Civil Procedure, as well as testimony adduced at trial or during any hearing (collectively, “Information”).

**B. Production of Discovery Materials Containing Potentially Privileged Information.**

2. Pursuant to Federal Rule of Evidence 502(d), the production of any privileged or otherwise protected or exempted information in this case shall not be deemed a waiver or

1 impairment of any claim of privilege or protection in this case or in any other federal or state  
 2 proceeding, including, but not limited to, the attorney-client privilege, the protection afforded to  
 3 work product materials, statutory privileges and protections, or the subject matter thereof, as to the  
 4 produced document and any related material.

5       3. Nothing contained herein is intended to or shall serve to limit a party's right to  
 6 conduct a review of documents, ESI, or information (including metadata) for responsiveness and/or  
 7 segregation of privileged and/or protected information before production.

8       4. The Producing Party must notify the Receiving Party within twenty-one (21)  
 9 calendar days, in writing, upon discovery that a document has been produced for which the  
 10 Producing Party asserts privilege and/or other protection. This "Clawback Notice" shall include (i)  
 11 the Bates range of the materials subject to the Clawback Notice and (ii) a privilege log listing the  
 12 item(s) subject to the Clawback Notice. If the Producing Party claims that only a portion of the  
 13 document contains privileged or otherwise protected material, then the Clawback Notice shall  
 14 include a new copy of the material (utilizing the same Bates number as the original material) with  
 15 the privileged or protected material redacted. If the Producing Party claims that the entire document  
 16 is privileged or protected, then the Producing Party shall provide a slip sheet noting that the  
 17 document has been withheld.

18       5. Upon receipt of a Clawback Notice, all such information, and all copies thereof, as  
 19 well as any analyses, memoranda, or notes or portions thereof which were internally generated and  
 20 contain or were based upon the item(s) listed in the Clawback Notice shall be sequestered, and the  
 21 Receiving Party shall not use such information for any purpose until further Order of the Court.

22       6. The Receiving Party may contest the Producing Party's assertion of privilege or  
 23 other protection. In that instance, within seven (7) business days from receipt of the Clawback  
 24 Notice, the Receiving Party shall give the Producing Party written "Notice of Clawback Challenge"  
 25 providing the reason for said disagreement. Any objection to a Clawback Notice shall be made  
 26 exclusively on the basis of information provided to the objecting party in the Clawback Notice, and  
 27 shall not refer, quote, cite, or otherwise use any of the material subject to the Clawback Notice. The  
 28 Producing Party will have seven (7) business days to respond to the Notice of Clawback Challenge,

1       in writing, by either: (i) agreeing to withdraw the claim of privilege or other protection; or (ii)  
 2       stating the reasons for such claim. If the Producing Party's response to the Notice of Clawback  
 3       Challenge does not resolve the issue, the parties shall meet and confer within seven (7) business  
 4       days of the response. If the conference does not resolve the dispute, within ten (10) business days  
 5       of the conference, the parties shall submit the dispute to Judge Cisneros for resolution in a joint  
 6       letter brief. The Producing Party retains the burden of establishing the applicability of the privilege  
 7       or other protection as to any inadvertently produced materials and bears the burden of making the  
 8       disputed materials available to the Court for *in camera* review. The joint discovery letter brief must  
 9       be filed under seal and the Receiving Party must not assert as a ground for compelling disclosure  
 10      the fact or circumstances of the disclosure. If the Receiving Party does not serve a Notice of  
 11      Clawback Challenge, then, upon expiration of the ten (10) business day period, all copies of the  
 12      disputed material shall be returned or destroyed.

13           7.       In the event that a dispute is submitted to the Court for resolution, the Receiving  
 14      Party may not disclose the content of any of the challenged material(s). Either party may request  
 15      that the Court order *in camera* review of the challenged material by the Court. The Court may order  
 16      *in camera* review of the challenged material(s) if the Court (1) determines that the Receiving Party  
 17      has shown good cause for its challenge and (2) believes that it cannot decide the issue based solely  
 18      on the written submissions of the parties. If the Producing Party desires to submit evidence or  
 19      argument in support of its claim of privilege that may itself reveal privileged content, it may submit  
 20      such material to the Court for *in camera* review.

21           8.       If any material is found to be privileged or protected in accordance with the  
 22      procedures herein, or the Receiving Party agrees that the document is properly designated as  
 23      privileged, consistent with the Federal Rules of Civil Procedure 26(b)(5)(B), the Receiving Party  
 24      shall promptly refrain from further copying or distributions of the subject materials, return or  
 25      destroy all copies of the document including reasonable steps to retrieve all copies that have been  
 26      distributed to counsel or non-parties, and destroy the portion of any work product that describes or  
 27      contains the contents of the subject materials.

1           9. Any analyses, memoranda or notes or portions thereof which were internally  
 2 generated and contain or were based upon the item(s) listed in the Clawback Notice shall  
 3 immediately be sequestered upon receipt of the Clawback Notice, and shall be destroyed in the  
 4 event that (a) the Receiving Party does not contest that the information is privileged or subject to  
 5 other protection pursuant to paragraph 6 above or (b) the Court rules that the information is  
 6 privileged or otherwise protected. Such analyses, memoranda, or notes or portions thereof may only  
 7 be removed from sequestration in accordance with paragraph 5 or in the event that (c) the Producing  
 8 Party agrees in writing that the information is not privileged or otherwise protected or (d) the Court  
 9 rules that the information is not privileged or otherwise protected.

10          10. If, during a deposition, a Producing Party claims that a document being used in the  
 11 deposition (e.g., marked as an exhibit, shown to the witness, or made the subject of examination)  
 12 contains material that is subject to the attorney-client privilege, the attorney work product doctrine,  
 13 or other protection, the Producing Party may (a) allow the document to be used during the  
 14 deposition without waiver of any claim of privilege or other protection; (b) instruct the witness not  
 15 to answer questions concerning the parts of the document containing privileged or protected  
 16 material; or (c) object to the use of the document at the deposition to the extent the entire document  
 17 is privilege or protected, in which case no testimony may be taken relating to the document during  
 18 the deposition until the matter is resolved by agreement or by the Court. If a privilege challenge is  
 19 raised as to a document, all parties shall sequester all copies of the inadvertently produced  
 20 document. As to any testimony subject to a claim of privilege or other protection, the Producing  
 21 Party shall serve a Clawback Notice within seven (7) business days of receipt of the final transcript,  
 22 after which the parties shall follow the procedures set forth in paragraphs 5 through 8. With respect  
 23 to any document or testimony that is the subject of a Clawback Notice that is sent more than twenty  
 24 (20) days after receipt of the final transcript, the asserted privilege or protection will be deemed as  
 25 waived absent good cause. Pending determination of the Clawback Challenge, all parties with  
 26 access to the deposition transcript shall treat the relevant testimony in accordance with paragraph  
 27 5. In the event that a party asserts a claim of privilege over a document during a deposition and  
 28 instructs the witness not to answer questions about the document (or portions thereof) or objects to

1 the use of the document in the deposition pursuant to Section 10(b) or 10(c) above, and if that  
 2 document (and/or portions thereof) is ultimately determined not to be privileged or subject to  
 3 protection, the Party or Non-Party asserting the claim of privilege or protection will work with the  
 4 deposing party to ensure that the deposing party is given a reasonable opportunity to depose the  
 5 witness about the document if the parties agree. In the case of Party-witnesses (or their current  
 6 employees) or any former employees of a Party who are represented by Counsel for such Party,  
 7 the subsequent questioning shall take place within a reasonable time of said determination, and  
 8 in the case of other Non-Party witnesses it shall take place at the earliest practicable time for the  
 9 witness and his, her or its counsel.

10       11. If a Receiving Party uses produced material in a brief or at a hearing, and the  
 11 Producing Party has not served a Clawback Notice in advance of the briefing event or hearing, the  
 12 Producing Party shall serve a Clawback Notice within five (5) business days of the briefing event  
 13 or hearing. Thereafter, the procedures set forth in paragraphs 5 through 8 shall apply. With respect  
 14 to any produced material used in a brief or at a hearing that is the subject of a Clawback Notice that  
 15 is sent more than twenty (20) days after the filing of the brief or conclusion of the hearing, the  
 16 asserted privilege or protection will be deemed as waived absent a showing of good cause.

17       12. This Stipulation does not preclude a party from intentionally and voluntarily  
 18 waiving any claims of privilege or protection. The provisions of Fed. R. Evid. 502(a) apply when  
 19 a party uses privileged or other protected information to support a claim or defense. The Parties  
 20 may stipulate, without the need for Court approval, to narrow or extend the time periods specified  
 21 in this Order.

22       C. **Privilege Logging.**

23       13. Unless otherwise provided in this Order, any document falling within the scope of  
 24 any request for production or subpoena that is withheld on the basis of a claim of attorney-client  
 25 privilege, work product, or any other claim of privilege or immunity from discovery is to be  
 26 identified by the Producing Party on a privilege log, which the Producing Party shall produce in  
 27 Excel format.

1       14. Within one-hundred twenty (120) calendar days of each production of documents or  
 2 ESI, the Producing Party shall provide a privilege log or logs concerning any information that has  
 3 been redacted or withheld in whole or in part from that production. The log shall be produced in an  
 4 electronic format (i.e. Excel format) that allows text searching, sorting, and organization of data.  
 5 The documents that are produced with redactions based on a claim of privilege shall be Bates  
 6 numbered using the same Bates numbering format agreed to by the parties for regular document  
 7 productions, and shall be listed on the Producing Party's privilege log in Bates-number order. The  
 8 documents withheld from production based on a claim of privilege will have a unique identifying  
 9 number assigned to each document on the privilege log. The Producing Party shall produce a master  
 10 privilege log in Excel format which shall be updated with each additional privilege log relating to  
 11 subsequent production. The log shall have a field that contains the date of the production (or  
 12 production number) to which the individual log entry relates. The log shall have a field that  
 13 indicates whether a document was withheld from production entirely or if the document was  
 14 produced with redactions of privileged information.

15       15. The privilege log shall log information required by Federal Rules of Civil Procedure  
 16 26(b)(5) and set forth the privilege or protection relied upon and specify separately for each  
 17 document or redaction. Where appropriate, information shall be designated by Metadata fields  
 18 used in the Order Governing the Production of Electronically Stored Information and Hard Copies.  
 19 The minimal information and fields to be produced include:

- 20       (a) Bates-number range, or if no Bates-number range, a unique document identifier  
 21 (BEGBATES, ENDBATES);
- 22       (b) Family relationship, if applicable (i.e., identification of parent emails and all  
 23 attachments) (BEGATTACH, ENDATTACH, ATTACHBATES, ATTACHCOUNT,  
 24 ATTACHNAMES);
- 25       (c) A description of the document or redaction, including the factual basis sufficient to  
 26 support the claim that the document is privileged and/or protected and to allow the  
 27 Parties to understand the basis for the redactions or privilege (DOCUMENT TYPE);
- 28       (d) The name(s) of the author(s) and custodians (AUTHOR, ALLCUSTODIAN);

1                         (e) The names and email addresses of all addressees and recipients, including copyees  
 2                         and blind copyees (FROM, TO, CC, BCC);  
 3                         (f) The file type and subject line of all email messages, unless the subject of the email  
 4                         itself contains privileged information, in which case the title may be redacted from  
 5                         the privilege log (SUBJECT);  
 6                         (g) The document/communication date and title, unless the title itself contains  
 7                         privileged information, in which case the title may be redacted from the privilege  
 8                         log (SORTDATE, FILENAME, TITLE);  
 9                         (h) An indication of whether the document has been produced in redacted form or  
 10                         withheld in its entirety (Redacted);  
 11                         (i) The privilege designation (attorney-client; attorney work product; joint defense  
 12                         and/or common interest, etc.);  
 13                         16. Attachments to emails shall be logged as separate documents on the log, with family  
 14                         relationships identified.

15                         17. Where feasible, attorneys or their staff must be identified on the log with an asterisk  
 16                         (or similar notation). In the event an error on a produced log is discovered, the Producing Party  
 17                         shall promptly produce a corrected, replacement log. An inadvertent failure to identify attorneys  
 18                         or their staff in accordance with this paragraph will not be deemed a waiver or impairment of the  
 19                         party's claim of privilege.

20                         18. The Receiving Party may make reasonable requests, on an individual basis and for  
 21                         good cause, that the Producing Party provide (a) aliases for an individual included on the log; (b)  
 22                         the job title of that individual; and (c) the employer of that individual at the time of the  
 23                         communication. The Producing Party shall make reasonable efforts to comply with such a request  
 24                         to the extent that the requested information is not readily available to the Receiving Party. Nothing  
 25                         in this paragraph shall be construed to allow the Receiving Party to request this information for  
 26                         every individual listed in the log or otherwise on a mass or indiscriminate basis.

27                         19. Documents withheld on the basis of privilege and/or work product protection may  
 28                         be grouped into categories based on content, and a categorical privilege log prepared instead of a

1 document-by-document privilege log as contemplated by paragraphs 14-17. This categorical log  
 2 will include:

- 3           (a) a category number for each category;
- 4           (b) the date range of documents included in each category;
- 5           (c) a general description of the documents in each category sufficient to support the  
              claim that the documents within the category are privileged and/or protected;
- 6           (d) senders, recipients, and copyees included in each category;
- 7           (e) the privilege designation for each category (attorney-client; attorney work product;  
              joint defense and/or common interest, etc.); and
- 8           (f) the beginning bates numbers of the documents included in that category.

9  
 10 Notwithstanding the foregoing, the decision to log documents on a categorical basis does  
 11 not waive the party's right to seek adjudication of any challenge(s) to privilege on a document-by-  
 12 document basis.

13  
 14 20. Provided that they contain Privileged material, log identification is not required for  
 15 the following materials:

- 16           (a) Communications created on or after August 12, 2021 related to the defense of this  
              litigation or the actions captioned In re Uber Rideshare Cases, Case No. CJC-21-  
              005188 (or any individual litigation within such coordinated proceedings),  
              exclusively within a law firm, or exclusively between or among law firms, serving  
              as a party's outside counsel, as well as its employees and support staff;
- 17           (b) Attorney work product and trial preparation materials created on or after August 12,  
              2021 related to defense of this litigation or the actions captioned In re Uber  
              Rideshare Cases, Case No. CJC-21-005188 (or any individual litigation within such  
              coordinated proceedings) and by a party's outside counsel, including their  
              employees and support staff;
- 18           (c) Internal communications solely within a legal department of a party that is a  
              corporation or another organization created on or after August 12, 2021;

1                   (d) Communications created on or after August 12, 2021 related to defense of this  
 2 litigation, or the actions captioned In re Uber Rideshare Cases, Case No. CJC-21-  
 3 005188 (or any individual litigation within such coordinated proceedings), between  
 4 the Producing Party and its counsel;

5                   (e) Communications created on or after August 12, 2021 between or among counsel for  
 6 Plaintiffs related to the prosecution of this litigation or the actions captioned In re  
 7 Uber Rideshare Cases, Case No. CJC-21-005188 (or any individual litigation within  
 8 such coordinated proceedings); and/or

9                   (f) Communications created on or after August 12, 2021 between or among counsel for  
 10 Defendants related to defense of this litigation or the actions captioned In re Uber  
 11 Rideshare Cases, Case No. CJC-21-005188 (or any individual litigation within such  
 12 coordinated proceedings).

13                 21. Where multiple email messages are part of a single chain or “thread,” a party is only  
 14 required to include on a privilege log the most inclusive message (“Last In Time Email”) and need  
 15 not log earlier, less inclusive email messages or “thread members” that are fully contained within  
 16 the thread, provided that the log entry includes the names of the authors, email addresses, and  
 17 recipients (including copyees and blind copyees) for all thread members, and that the description  
 18 of the thread includes the factual bases sufficient to support the claim of privilege, and that the log  
 19 entry includes the privilege designations applicable to any thread members.

20                 22. After the receipt of a privilege log, any party may dispute a claim of privilege. Prior  
 21 to seeking Court intervention, the party disputing, questioning, or otherwise objecting to a claim of  
 22 privilege shall provide in writing the identification of the documents or category of documents for  
 23 which it questions the claim of privilege and the reasons for disputing, questioning, or otherwise  
 24 objecting to the privilege designation. Within fourteen (14) calendar days, the party that designated  
 25 the documents as privileged will provide a written response explaining the basis for its claim of  
 26 privilege, or if applicable, de-designating documents as privilege and producing such documents in  
 27 accordance with this Order. Thereafter, if the parties continue to disagree, they will meet and confer

1 in good faith as to the claims of privilege. If agreement has not been reached after fourteen (14)  
2 calendar days, the parties shall submit the dispute to Judge Cisneros for resolution.

3        23. Any deadline imposed by this order may be extended by agreement of the parties or  
4 upon a showing of good cause, including, but not limited to, the need to challenge multiple  
5 Clawback Notices at once or the need to respond to multiple Clawback Challenges or challenges  
6 to assertions of privilege at once.

7       24. Nothing in this Order overrides an attorney's ethical responsibility to refrain from  
8 examining or disclosing materials that the attorney knows or reasonably should know to be  
9 privileged and to inform the disclosing party that such materials have been produced.

## **IT IS SO ORDERED.**

12 || Dated: , 2024

Hon. Charles R. Breyer  
United States District Court Judge